

JAI NARAIN VYAS UNIVERSITY

JODHPUR



2016 - 2021

3.1.1 The institution's Research facilities are frequently updated and there is a well-defined policy for promotion of research which is uploaded on the institutional website and implemented

Ph.D. ORDINANCE 2021

**JAI NARAIN VYAS UNIVERSITY, JODHPUR
(ACADEMIC SECTION)**

No. JNVU/Aca/R/21/ 18840

Date: 06-01-2021

NOTIFICATION

It is hereby notified that the Hon'ble Chancellor under Section 24 (1) of Jai Narain Vyas University Act 1962 as amended from time to time has assented to Ordinance No. 199 to 224 pertaining to Ph.D. as detailed below and communicated by the Principal Secretary to Governor, Rajasthan vide his letter No. F 2 (6) RB/2007/Part-2/4590 dated 14.12.2020.

O.199. The degree of Doctor of Philosophy (hereafter referred as Ph.D.) shall be awarded by the Research Board (as defined under O.224) of the Faculty/ Faculties of learning in the University, subject to the conditions and regulations hereinafter contained.

(i) The Departmental Council (hereafter referred as DC) shall identify the broad areas/sub areas for research depending upon availability of experts in the Department, affiliated colleges, and Institutes recognized by the University. These information shall be compiled Faculty-wise for display in the University website. This shall be updated from time to time.

(ii) In order to promote qualitative research, the fields/topics for research shall be decided in contemporary context.

(iii) All the Departments shall prepare a comprehensive list of eligible supervisors with their areas of expertise alongwith details of filled and vacant seats with each supervisor and on approval by DC; the same shall be compiled Faculty-wise for display in the University website. This shall be updated from time to time.

(iv) Before commencement of admission process, the matrix of vacant seats shall be displayed on the University website and normally no change shall be made once it is published on website

O.199-A. Research shall be a definite contribution to the existing knowledge in any branch or branches of learning and may be characterized by discovery of new facts and/or new interpretation of existing facts or theories.

O.199-B. Improve quality of Research & Development

i. The efforts for R & D should lead to benefit society directly/indirectly.

ii. Departments shall identify research areas and form research groups consisting of faculty members having common specializations and research interests.

iii. Departments shall interact with industries to take inputs to define R&D problems. Outcome of such type of R&D efforts may lead to Technology Transfer to industries for improving processes or production of new products/new innovation.

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iv. The R&D activities shall lead to bring external cash flow (ECF) through consultancy and testing, technology transfer/ transfer of resources outcome, etc. which may be utilized for further development of Department and the University in ratio of sixty and forty.

The R&D activities shall lead to file patents at national and/or international level.

O.200. A candidate possessing the qualifications in appropriate areas and fulfilling the eligibility criteria as prescribed below, shall be eligible for admission to Ph.D. programme in the respective subject or appropriate discipline.

O.200-A. Eligibility for Full Time Research Scholar:

(i) Master's degree in the respective subject/ appropriate discipline of any recognized University/Institute or equivalent, with a minimum of 55% marks in aggregate (of all the years/semesters) where marks are awarded or minimum equivalent Cumulative Grade Point Average (CGPA) as defined by AICTE/UGC or any other competent body as the case may be.

(ii) A relaxation of 5% or equivalent grade point in the minimum eligibility shall be applicable to the applicant belonging to the categories of SC/ST/OBC (Non-Creamy Layer) and Differently abled persons or categories decided by UGC or those who had obtained their Master's Degree prior to September 19th, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace marks procedure.

(iii) Candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade B in the UGC 7-point scale (or an equivalent grade in point scale wherever grading system is followed) and successfully completing M.Phil. degree shall be eligible to proceed to do research work leading to the Ph.D. Degree in the same Department in an integrated program. A relaxation of 5% or equivalent grade point in the minimum eligibility shall be allowed to the applicant belonging to the categories of SC/ST/OBC (Non-Creamy Layer) and Differently abled persons or categories decided by UGC.

(iv) A person whose M.Phil. Dissertation has been evaluated and viva voce is pending may be admitted to the Ph.D. programme of the same Department, where ever applicable.

(v) Candidates possessing a Degree considered equivalent to M.Phil. degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

(vi) Candidates for full time research programme shall preferably be permitted having sponsorship/ assistantship/fellowship/any financial support from University/State or National Level Agency, etc., however, candidates without any financial support as above shall not be disqualified to take admission to full time Ph.D. programme.

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O.200-B. Eligibility for Part-time Research Scholar

In addition to the educational qualifications mentioned at O.200-A (i) and (ii) the applicant shall possess the following to the satisfaction of the DC.

(i) Permission of the employer in writing to the candidate, to pursue Ph.D. programme along with his/her regular duties in the organization he/she is employed.

(ii) Availability of all facilities for pursuing research at the candidate's parent organization/place of work, in the chosen field of research.

(iii) Certificate issued by the employer to allow him/her to fulfill the residential requirement during course work and contact days with supervisor after completion of the course work as notified by University from time to time.

O.200-C. Incentives to Research Scholars:

i. Full time scholars may be provided teaching assistantship as per the UGC norms.

ii. Admission in part-time category may be granted to in-service candidates having a minimum professional experience of one year after his/her PG degree from among faculty members working in any Engineering college/Polytechnic/University/Deemed to be university (recognized/accredited by appropriate bodies in India) or staff of public/private organizations that are within the University jurisdictional area.

iii. Ph.D. program in subject areas of inter-disciplinary nature may be allowed. This kind of research should be given due weightage in promotions/selection also.

iv. University may set up research centre in the institutes under its jurisdiction where research guide and research facilities are available.

v. University may explore the recognition of on line courses as course work for research scholars.

vi. Eight-five percent shall be the minimum number of contact days in an academic year for all regular Ph.D. scholars.

vii. Teaching assistantship provided during the Ph.D. may be considered as teaching experience.

viii. All full time Research Scholars shall be eligible for 30 days leave in an academic year. They shall not be entitled for vacations in the University.

O.201. Procedure for Admission

(i) University shall conduct an annual JNVU-MPET at pre-notified date for admission to M.Phil./ Ph.D. Programme. The second test may be conducted if seats remain vacant after first test.

(ii) University shall adhere to the State Reservation Policy.

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(iii) The entrance test shall be of qualifying nature with qualifying marks as 50%. The syllabus for the entrance test shall consist of 50% of research methodology and 50% subject specific. The paper shall consist of 100 objective type multiple choice questions.

(iv) Qualified candidates, maximum twice the number of seats, shall be called for interview/viva-voce before the DC to discuss their research interest/area and give a presentation on the same.

(v) All candidates who qualify UGC-NET (including JRF/ UGC-CSIR NET including JRF/ SLET/ Teachers fellowship holders) shall be exempted from JNVU-MPET and will be called for interview. Final selection shall be as prescribed in these regulations.

If number of such qualified candidates is equal to or more than twice the number of seats as specified in O.199 (iv), University may not conduct the entrance test.

The merit of all eligible (UGC-NET/ SLET/ JNVU- MPET) candidates shall be computed by considering the percentage of post-graduation marks (50%) and the qualifying entrance (UGC-NET/ SLET/ JNVU-MPET) test marks (50%). For Teachers fellowship holders, the post-graduation marks (100%) shall be considered for merit. The candidates shall be called for interview/ viva in the DC as per the merit list notified.

(vi) The interview/viva-voce shall also consider the following aspects-

- The candidates possess the competence for proposed research work.
- The research work can be suitably undertaken at the institution/college/Research Centre.
- The proposed area of research can contribute to new/additional knowledge.

(vii) The candidate may submit his/her preference of the supervisors for consideration in the DC and accordingly the recommendation of DC shall be final. Supervisor/ Co-Supervisor, as approved by the DC, shall be notified by the Department, with intimation to the Dean (Research), once the student is admitted to the Ph.D. course work.

O.201-A. Final Selection of the Candidates

(i) If the number of seats is equal to or more than the qualified candidates, the DC may recommend all or less number of candidates depending upon the criteria specified at O-201- (v).

(ii) If the number of seats is less than the number of qualified candidates the final selection shall be made on the basis of merit in the qualifying test and viva voce/interview.

(iii) All admissions shall be final subjected to the approval of Research Board.

(iv) University shall maintain the list of all Ph.D. registered students on its website on year wise basis. The list shall include all information including Aadhar Number as stipulated by UGC (Pro forma as per vide letter dated March 9th, 17).

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O.202.

- (i) All Research work leading to the award of Ph.D. degree shall be carried out under the supervision of the registered research supervisor(s) of the University either in the University Department/ Institution/ College or at approved research centers of the University.
- (ii) All faculty members who were recognized as Research Supervisors by the University before the implementation of UGC Regulations-2016 for Ph.D./M.Phil. shall continue to act as Research Supervisors.
- (iii) Any full time regular Professor of the University/Institution/College with at least five research publications in refereed journals and any full time regular Associate/ Assistant Professor of the University/ Institution/ Deemed to be University/ College with a Ph.D. Degree and at least two research publications in refereed journals shall be recognized as Research Supervisor. Provided that in areas /disciplines where there are no or very limited number of refereed journals, the institution may relax the above condition for recognition of a person as Research Supervisor with reason recorded in writing.
- (iv) Only a full time regular teacher of the University/ College can act as supervisors. The external Supervisors are not allowed. However, Co-Supervisors can be allowed in interdisciplinary areas from other department of the University or other related institutions with approval of Research Board. The recognized supervisors of Institutes can act as Co-Supervisors for scholars working in that Institute.
- (v) The allocation of Research Supervisor for a selected research scholar shall be decided by the DC depending upon the number of scholars per supervisor, the available specialization among the supervisors and research interest of the research scholar indicated at the time of interview/viva-voce.
- (vi) In case of topics that are of inter disciplinary nature where the department concerned feels that the expertise in the department has to be supplemented from outside, Department may appoint a Research Supervisor from the Department itself, who shall be known as Research Supervisor and a Co-Supervisor from outside the Department/ Faculty/ College/ Institution, who are already recognized as supervisors by the University.

O.203. Departments/ Colleges/ Institutions that can offer M.Phil./Ph.D. Programme

- (i) All the Departments of the University.
- (ii) Central/ State Government Research Centers/ Colleges that are already recognized and notified by the University.
- (iii) Colleges/ Institutes that are in the territorial limits of the University can be considered eligible to offer M.Phil./Ph.D. programme only if they satisfy the availability of eligible research supervisors, required infrastructure and research promotion facilities as per following requirements and as assessed by the inspection committee, the Syndicate on the recommendation of the Research Board may recognize such centers for the conduct of research work by its students/teachers/ scientist/ employee as it might deem fit for the purpose .

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a. Post graduate Department of Colleges, Research Laboratories of Government of India/ State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the department concerned along with required infrastructure, supporting administrative and research promotion facilities as per UGC Regulations shall be considered eligible to offer Ph.D. program. Colleges should additionally have the necessary recognition by the institution under which they operate to offer Ph.D. Program.

b. Colleges with adequate facilities for research as mentioned below alone shall offer Ph.D. program:

i. In case of science and technology disciplines, exclusive research laboratories with sophisticated equipments as specified by the Institution concerned with provision for adequate space as per research scholar along with computer facilities and essential software and uninterrupted power and water supply.

ii. Earmarked library resources including latest books, Indian and International journals, extended working hours for all disciplines, adequate space for research scholars in the Department library for reading, writing and storing study and research materials.

iii. Colleges may also access the required facilities of the neighbouring Institutions/Colleges or of those Institutions/ Colleges/ R&D laboratories/ Organizations which have the required facilities.

O.203-A. The inspection committee shall comprise of (i) the Dean of the Concern Faculty, (ii) the Head of the concern Department, (iii) the member Syndicate nominated by the Vice Chancellor (Member Secretary), (iv) two expert members of the subject. The committee shall submit its report to the Dean, Research/Academic for placing it to the Research Board with its observations.

O.204

(i) At any given point of time the Research Supervisor/Co- supervisor shall not be allowed to guide research scholars more than the number as specified below:

- a. Professor-08
- b. Associate Professor-06
- c. Assistant Professor-04.

(ii) In case of relocation of a Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations/guidelines are followed in letter and spirit and the research work does not pertain to project secured by the parent institution/supervisor from any funding agency. The scholar shall however give due credit to the parent guide and institution for the part of research already done.

(iii) The faculty members working in University, may not be allowed to act as Supervisors in Private Universities.

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(iv) A serving teaching faculty member of Department/ College/ member of the recognized institute who is willing to act as Research Supervisor shall have to apply for registration as Research Supervisor to the concerned Department by submitting his brief biodata along with his/her research publications; the DC may consider his application and accordingly recommend to the Research Board. The Research Board shall notify the approved Research Supervisor in the University.

(v) In special circumstances, a full-time research scholar may be permitted to carry out his research outside the University/Research Centre subject to the prior approval by the Research Board on the recommendations of DC. In such cases one recognised Co-supervisor is a must from the approved work place.

(vi) No person shall be allowed to supervise one's close relations. The terms close relation includes spouse, children, sister, brother, grandchildren, nephew, niece, grandniece, grandnephew, uncle, aunt, first cousin, son-in-law, daughter-in-law and nephew, niece, grand niece and nephew of supervisor's spouse.

(vii) A person having less than two years to his retirement shall not be allotted a new research scholar.

(viii) The Research Supervisors shall not refuse to guide an eligible research scholars against the vacant seat under that Supervisor as approved by DC, accordingly notified by the Faculty without any valid reason. The DC shall record the reasons, if any, for such refusal and submit to the Research Board. The Research Board shall assess the validity of refusals and if the reasons are found to be invalid, the Vice Chancellor is authorized to:

a. Derecognize the teacher as research supervisor, all the Facilities provided to him for research endeavours shall be withdrawn and/or

b. Declare him/her as non-gratuitous employee of the University and/or

c. Withhold two consecutive annual increments of that University teacher/ recommend to the Directorate of College Education/ Management for initiating such an action against that teacher in affiliated College.

O.204-A. Appointment of Supervisors/Co-Supervisors and Caretaker Supervisors/Co-Supervisor:

A faculty member appointed as a Ph.D. supervisor/Co- Supervisor is normally expected to be available to a research scholar in the University/ Institution till the thesis is submitted. However, under unavoidable circumstances, such as long leave for more than twelve months, resignation, retirement, or death, a supervisor may not be available to the research scholar. In such circumstances, appointment of new Supervisor/Co-Supervisor shall be regulated as under:

(i) Long leave for more than twelve months:

i. The supervisor of a candidate proceeding on long leave for more than twelve months can continue to supervise the candidate provided he/she has supervised the candidate for at least two years prior to his/her leave.

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- ii. If the thesis has been submitted before the supervisor proceeds on leave, he shall continue to be the supervisor.
- iii. Further, if a major revision becomes necessary, and the sole supervisor is on leave, he shall be asked to specifically state whether he would effectively help the student carrying out the major revisions within a reasonable period. In case, the sole supervisor expresses his inability to do so, then another supervisor shall be appointed. If he provides the required help in carrying out the major revision, he will automatically be treated as a supervisor of that research scholar.
- iv. If a supervisor proceeds on leave for a period less than 12 months initially, but later extends his leave beyond 12 months, the procedure as per 204-A (i) (a to c) shall be followed.

(ii) Retirement: A faculty member who is due to retire within the next two years may be appointed as a Co-supervisor and can continue to be the Co-supervisor even after his retirement provided the DC is convinced of his availability/ continued guidance to the student. In other cases, a faculty member on retirement may continue a Supervisor/ Co-supervisor, if reemployed or appointed as Emeritus Fellow; or, Honorary Professor; or, if the synopsis of the thesis has been submitted. Appointment of another Supervisor, if necessary, shall be as per the guidelines, as the case may be.

(iii) Resignation: A new Supervisor shall be appointed, if necessary, by Research Board on the recommendation of DC.

(iv) Death: A new Supervisor shall be appointed, if necessary, by Research Board on the recommendation of DC.

O.204-B. Incentives to Research Supervisors

(i) Universities shall make suitable provisions for accelerating and creating conducive environment for promotion of research in the university.

(ii) Universities shall promote teachers to submit research proposal getting funds from UGC/AICTE/DST etc. Each Research Supervisor shall be credited a load of 2 periods/week to his/her teaching load irrespective of number of candidates working under him. This incentive is not applicable for teachers with whom no scholar is perusing his/her doctoral research with his/her supervision during any academic year(s).

O.205.

(i) As prescribed in O.199-A-(iii), University shall notify the predetermined and manageable number of Ph.D. scholars to be admitted depending upon the number of available supervisors and other academic and physical facilities available, the norms regarding scholar-teacher ratio, laboratory, library and such other facilities.

(ii) University shall notify well in advance on their web sites and through advertisement in at least two newspapers having wide publicity of which at least one shall be in the regional language, the number of seats for admission/subjects/discipline-wise distribution of available seats, criteria for admission, admission procedure, examination centers where entrance test shall be conducted and other relevant information for the benefit of the candidates.

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O.206. The fee for registration, tuition, examination and others will be as per the decision of the Academic Council taken from time to time.

O.207. The application for final registration, duly approved by the Departmental Council (DC), shall be placed before the Research Board of the Faculty concerned, which, after satisfying itself on all points, will grant the application for admission.

O.208. Deleted

O.208-A. A Research Scholar will be allowed to change the title of the subject only once which should not be later than six months before the actual submission of the thesis or when a request for the appointment of examiners are made.

The modification shall not amount to changing the subject entirely in which case it will be deemed to be a case for fresh registration.

O.209. Course Works

(i) The Departments and Faculty of learning shall prescribe course work in line with UGC guidelines and follow procedure of approvals by the DC, Board of Studies, Faculty and Academic Council on regular basis.

(ii) The course work shall be examined by internal evaluation of 40% weightage and end term evaluation of 60% weightage.

(iii) The internal evaluation shall consist of tests/seminar presentation and end term examination shall be conducted as per norms of other university examination.

(iv) The candidate must get minimum 55% aggregate marks and 40% in each paper to pass the course work.

(v) Duration of course work shall be maximum up to 6 months. This duration shall be counted in completion of research work. In case a candidate fails in course work examination fully/partially, he shall be allowed to reappear in the next course work examination conducted for the failed paper(s) by the University on deposition of prescribed fee/registration fee as per the Universities Rules. However, attendance shall not be compulsory for reappearing in the course work examination. The course work examination shall normally be conducted twice a year.

(vi) If a candidate fails to clear course work examination in two attempts, his/her admission shall be treated as cancelled.

O.209-A. Candidates already holding M.Phil. degree obtained after qualifying JNVU-MPET or equivalent entrance examinations of other Universities and admitted to the Ph.D. program and those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course may be exempted by the Department from the Pre-Ph.D. course work.

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O. 210. Process for approval of Research Proposal

- (i) After completion of course work each research scholar shall submit his research proposal as approved by the allotted supervisor(s) to Head of the Department concerned for consideration of the DC.
- (ii) DC shall approve discipline-wise/specialized subject-wise Department Research Committee with minimum of two internal members, supervisor(s) and one subject expert (to be appointed by the DC Chairperson from a panel of names suggested by the supervisor and approved by the DC).
- (iii) The DRC shall examine the research proposal and suggest suitable modification/alterations if any. The student shall incorporate suggested change and resubmit the research proposal to the satisfaction of the committee.
- (iv) With the recommendations of the DRC, the Head shall submit the research proposal to the Research Board for approval.
- (v) If at any stage, the student wishes to modify the research plan and/or change the title of the thesis approved earlier, supervisor shall arrange the proposal to be put before the DRC and the student shall make a presentation before it with the details of the proposed modifications and its justifications. The DRC shall make appropriate recommendations for approval of Research Board. This provision shall not violate O.208-A.

O.210-A. Every candidate shall be duly enrolled as a research student of the University on payment of the fee prescribed before he/she is registered for the degree. The application for enrolment shall be sent to the University on the prescribed form along with the prescribed fee and the original Master's or equivalent degree and the migration certificate of the University from which he/she took the Master's or equivalent degree.

Note: Candidates already enrolled in the University shall not be required to enroll again.

O.211-A. Every finally registered candidate shall have to work with his/her supervisor in the University for a period of two years from the date of final registration before submitting his/her thesis.

O.211-B. The record of attendance shall be kept by the Department; wherein the concerned supervisor will maintain the attendance and make it available to the concerned Department on quarterly basis.

O.211-C. The attendance including leave(s) of Teacher Research Fellows (TRF), Junior/Senior Research Fellows and Scholars getting any type of fellowships shall be maintained by the office of the respective Department.

O.211-D. In cases, wherein the Supervisor officially changes his place of working within the University territorial limits and the scholar requires no laboratory facilities, then the scholar shall be working with the supervisor in his/her new place. However, scholars requiring laboratory facilities shall continue to work in the same place and the Head of the concerned Department/ Principal of the College/ Officer In-charge of the section in the Institute will maintain the attendance and make it available to the concerned Department on quarterly basis.

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O.211-E. The Vice-Chancellor, on the recommendation of the Supervisor, may permit the candidate to work outside the University territory, ordinarily for a maximum duration of not more than six months for collection of material or field study as directed by the Supervisor.

O.212. Performance Monitoring of Research Work

(i) Progress of the Research work of all research scholars in the department shall be reviewed after every six months by respective DRC.

(ii) Each Research scholar shall appear before the DRC once in six months to make presentation of the progress of his/her work for evaluation and further guidance. Six monthly progress report shall be submitted by the DRC to the Dean (Research) and copy to the Research Scholar, till the thesis is submitted. The DRC shall evaluate and send the evaluation report in the prescribed form to the Head and the Supervisor with anyone of the following recommendations.

- Allowed to enroll in the next semester and continue research;
- Allowed to enroll and continue research but with suggestions for improvement;
- Advised to discontinue as the performance is very poor. In that case the matter shall be referred to the DC and with its recommendations to Research Board for final decision.

O.213. Duration of Research Work

(i) Ph.D. programme shall be for a minimum period of 3 years including course work and maximum of 6 years for full time research scholars and 4 years including course work and maximum of 7 years for part time research scholars.

(ii) The extension beyond the above limits may be permissible for a further period maximum of one year on case to case basis by the University. No further extension shall be granted after this period and candidates shall be required to re-register afresh beyond this period.

(iii) Women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years in maximum period. In addition, the women candidates may be provided maternity leave/ Child care leave once in entire duration for up to 240 days.

O.214. Evaluation of Research Work before Thesis submission/Panel of Examiners

(i) Pre-Thesis submission seminar

a. DRC shall assess the work through a pre-thesis submission seminar. The research scholar can submit the synopsis only if the DRC is satisfied about the quality of the work for submission as a PhD thesis. The DRC chairperson shall forward recommendations to Research Board and copy to Dean Research.

b. The pre-thesis submission seminar shall be adequately notified by the Head of the Department on the request of the supervisor so as to enable interested faculty members and students to attend it.

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(ii). Synopsis:

- a. The research scholar shall submit eight copies of the synopsis after the presentation of pre-thesis submission seminar including at least two his/her research publications.

(iii) Panel of Examiners:

a. The Department shall prepare an exhaustive panel of subject area-wise experts through the respective DRCs. The DC while approving the panel shall ensure that the panel so recommended shall include at least 50% of the examiners from abroad/premier institutions like IITs/CSIR Laboratories/ Central Universities/ DRDO/ NITs/IIMs/IIITs/ ICAR/ ICMR/ IISc or eminent scholars from outside the state per each subject area. The examiners should be eminent experts in the subject areas of the research scholar's thesis with proven record. The panel shall be provided by the Department for each academic year; till the new panel is received, the earlier panel shall be considered for appointment of examiners, however, the same panel cannot be considered for two academic years.

b. Vice Chancellor shall appoint appropriate experts (subject area-wise experts) out of the list prepared and recommended by the DC. The Research Board shall be authorized to add more experts and send thesis for evaluation to that expert with proper recording of reasons on case to case basis for invoking this provision

c. No close relatives of candidate shall be permitted to act as examiner(s).

O.215. Thesis submission:

a. The thesis shall bear evidence of the research scholar's capacity for analysis and judgment as well as his/her ability to carry out independent investigation, design or development. No part of the thesis or supplementary published work shall have been submitted for the award of any other degree or diploma.

b. A research scholar may be permitted to submit his/her thesis only if he/she has published at least one paper in referred International/ National Journal and has presented two papers in National/International Conference/ Symposia/ Seminar.

c. The thesis in language subjects shall be written in the language concerned, except Sanskrit which may be written in Sanskrit, Hindi or English.

The thesis in other subjects in the Faculties of Arts, Education and Social Sciences, Commerce, Management Studies and Law shall be written in English or Hindi.

In Faculties of Science and Engineering, the thesis shall be written in English. The Research Board may waive the condition regarding the English medium and permit the candidate to write his/her thesis in Hindi; provided suitable recommendations by the concerned Departmental Council are submitted prior to the appointment of examiners.

The thesis shall be characterized by discovery of facts or fresh approach towards interpretation of facts and theories or significant contribution to knowledge or development or a combination

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of these. The format, front page/cover format and color codes of the binding and copyright certificate shall be as specified by the University from time to time.

d. The research scholar is required to submit five copies of hard bound thesis for evaluation. The cover colour shall be light blue for the Faculty of Arts, Social Science and Education; light cyan for the Faculty of Commerce and Management Studies; light pink for the Faculty of Law; light gray for Faculty of Engineering and Architecture; light orange for the Faculty of Science. Cover page includes the title, degree ["Thesis submitted for the award of the degree of DOCTOR OF PHILOSOPHY in" (subject name)], University emblem, Name of Scholar and Supervisor, Department, and the year of submission.

e. The research scholar shall also provide the details of data/software etc. used for analysis and deriving the results to DRC.

O.215-A. The candidate and his/her supervisor shall be required to submit a signed declaration that the thesis submitted is not substantially the same as any that has already been submitted for a degree or any other academic qualifications at any University or examining body in India or abroad.

O.215-B. A certificate countersigned by the Head shall be furnished by the Supervisor indicating how far the work of the candidate is his original work.

O.215-C. The candidate and his/her supervisor shall arrange to assess the entire thesis content by suitable online plagiarism check software and include the resultant of that analysis in the thesis with their signatures.

O.215-D. Once the candidate submits his/her thesis, the seat shall be treated as vacant with that supervisor.

O.216. Evaluation of Thesis:

(i) The thesis shall be evaluated by Board of three examiners, appointed by the Research Board, consisting of one Internal Examiner i.e. the Thesis Supervisor and two external examiners of whom at least one shall be called from abroad/premier institutes/out of state institutes.

(ii) Anti-plagiarism: Universities shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out or to any other Institution.

(iii) The entire process of evaluation of Ph.D. thesis shall be completed within a period of six months from the date of submission of thesis.

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(iv) Each examiner shall be requested to submit a detailed assessment report and his recommendations on the prescribed form to the Dean (Research) within three months of the date of receiving the thesis.

(v) In the event of the thesis report not being received from an examiner within a period of three months, the Dean/Director (Research) may seek approval of Vice Chancellor for appointment of another examiner.

(vi) Examiners shall examine the thesis individually with a view to judge whether the thesis is a piece of research work characterized by;

- discovery of facts, and/or.
- a fresh approach towards interpretation and application of facts or theories, and/or
- distinct advancement in technology/science/ techniques etc.

(vii) The examiner shall be required to give his opinion about research Scholar's capability for critical examination and sound judgment. All examiners shall submit the reports on the prescribed format clearly indicating one of the following four definite recommendations:

- The thesis is recommended for the award of Ph.D., with/viva-voce examination.
- The thesis is recommended for the award of Ph.D. Degree subject to the research scholar giving satisfactory answers to queries specifically mentioned in the report, at the time of Viva-voce examination, or incorporation of suggestions, modifications, and corrections, if any.
- The research scholar be allowed to resubmit his thesis in the revised form.
- The thesis is rejected.

(viii) The examiners shall provide questions/clarifications that are to be placed during the viva-voce examinations.

O.216-B. The viva-voce examination shall be conducted by at least two examiners from a board of three examiners appointed for assessing the thesis, one of whom shall be the Supervisor (internal examiner). In case the Supervisor happens to be outside India, an alternative examiner shall act as one of the examiners. To the viva-voce examination, all members of the Academic Council shall be invited.

O.216-C. The viva-voce examination shall be conducted in the concerned University Department only. The external examiner is eligible for TA/DA as per the rules. However, the internal examiner (Supervisor/Co-Supervisor) are not eligible for any TA/DA etc. for conducting the viva voce examination. All the examiners shall receive the Honorarium for thesis evaluation and viva voce test.

O.217. The University shall take a decision on the basis of recommendations of the examiners according to Table given below:

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S.No.	Recommendation of Examiners			Decision
1	Accept	Accept	Accept	Thesis Accepted
2	Reject	Reject	Reject	Thesis Rejected
3	Accept	Accept	Reject	*A
4	Accept	Reject	Reject	*B
5	Accept	Revise	Reject	*C
6	Accept	Revise	Revise	
7	Revise	Revise	Revise	
8	Revise	Revise	Reject	
9	Revise	Reject	Reject	Thesis Rejected
10	Accept	Accept	Revise	*C

If the thesis is recommended to be rejected by one of the three examiners, fourth examiner (from the same category as laid down in) shall be appointed from the approved panel of examiners. In case the fourth examiner, after evaluation, recommends.

(i) rejection, the thesis would be rejected.

(ii) acceptance, the thesis would be accepted after the viva- voce is conducted.

(iii) revision, the thesis would be suitably revised with in a period of one year and resubmitted to the same examiner for revaluation, till acceptance/rejection, and thereafter Table as above shall apply accordingly.

If the thesis is recommended to be rejected by two of the three examiners, the thesis shall not be accepted. However, the research scholar may be allowed to resubmit the thesis normally within one year, provided the title of the thesis remains unchanged. Then after normal procedure will be followed for the thesis evaluation.

The thesis would be suitably revised, with in a period of one year, for re-evaluation by the examiner(s) (who has or have recommended revision) till acceptance/rejection, and thereafter Table as above shall apply accordingly.

Thesis after successful completion should be uploaded on website as per UGC norms. In case of ambiguous recommendations by the examiner, Dean (Research) will approach the examiner for a clear recommendation, in case clear recommendation is not forthcoming, the matter may be referred to the Vice chancellor for suitable decision.

(iv) Any doubt arising out of following the procedure laid down, shall be referred to the Vice Chancellor for the decision.

O 217-A. -deleted

O.218. If the candidate fails to defend his/her thesis satisfactorily in the viva-voce examination, the Research Board may reject the thesis with recording of reasons or ask the candidate to appear for the viva-voce examination again after the expiry of six months

O.219. After the viva-voce examination of the candidate has been held to the satisfaction of examiners, the Dean (Research) shall place all recommendation before the Research Board for its approval which shall be forwarded to the Academic Council for its decision to award the degree.

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O.219-A. After approval of the process for awarding of degree by the Research Board, Jai Narain Vyas University, Jodhpur will issue a Provisional Certificate certifying to effect that the Degree has been awarded in accordance with the provisions of the UGC (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2009 as amended from time to time.

O.220. On a written request from the candidate or the supervisor copies of reports of the examiners shall be supplied to the candidate/supervisor after the degree has been awarded on the understanding that the report shall not be published or quoted from in any form.

O.220-A. Following the successful completion of the evaluation process and announcements of the award of Ph.D., the university shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for posting the same in INFLIBNET accessible to all Institutions/Universities.

O.221. Deleted

O.222. A Research Scholar registered for Ph.D. degree of this University be required to pay the tuition fee and other fees as per the decision of the Academic Council taken from time to time till he/she submits his/her thesis. The exemptions/concessions in fees shall not be applicable for the scholars who are receiving/received any financial support in the form of fellowships/scholarships from University/State/ Central funding schemes/projects, etc. for the period of two years during his/her research tenure.

O.222-A. Deleted

O. 223. The remuneration for evaluating the thesis and conducting the viva-voce examination shall be as determined by the Academic Council and notified by the J.N. Vyas University, Jodhpur from time to time

O. 224. There shall be a Research Board in each faculty and the Constitution for each faculty shall be as under:

- (i) The Vice - Chancellor
- (ii) The Dean, Research/Academic
- (iii) The Dean of the Faculty concerned
- (iv) The Heads of the Departments

Note: In any Faculty, wherein the same person is holding the position of Dean and Head and number of the Departments in that Faculty is only one; then the next senior most teacher shall be an additional member in the Research Board.

The Research Board may co-opt one or two experts if deemed necessary for a period of one year, at a time.

The Vice Chancellor shall appoint the senior most Dean of the University as **The Dean, Research/Academic** till he/she is in that position.

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A majority of the members shall form a quorum.

The functions of the Research Board shall be:

- (i) To consider applications from teachers in the University for recognition as Research Supervisors.
- (ii) To receive and consider applications of candidates for Ph.D., D.Litt. and D.Sc. degrees in the faculty.
- (iii) To consider the report of the supervisor on the work of a candidate for the Ph.D. degree.
- (iv) To recommend the award of research scholarships.
- (v) To recommend names of referees for examining thesis for the Ph.D. / D.Litt. or D.Sc. degree and to consider reports on thesis from such examiners.
- (vi) To exercise general control over matters concerned with research work in the faculty


(CHANCHAL VERMA)
REGISTRAR

No. JNVU/Aca/R/21/ 18840

Date: 06-01-2021

Copy to:-

1. The Principal Secretary to Governor, Rajasthan, Raj Bhawan, Jaipur with reference to his letter No. F 2 (6) RB/2007/Part-2/4590 dated 14.12.2020.
2. All the members of Senate/Syndicate/Academic Council/Finance Committee.
3. All the Deans/Directors/Head of the Departments.
4. The Chairman, Library Board/Sports Board.
5. The Financial Advisor/The University Engineer.
6. The Public Relation Officer, J.N. Vyas University.
7. Online Cell.
8. All Branch Officers.
9. P.S. to Vice-Chancellor/P.A. to Registrar.


ASSTT. REGISTRAR

COUNTER SIGNED


REGISTRAR
Jai Narain Vyas University
JODHPUR (Raj)